



KYC Policy

Agility Markets is a trading name of Agility Markets Pty Ltd (Agility Markets), which is incorporated in Australia (ACN 662207721, AFS Authorised Representative no. 001298847) whose address is Level 36, Gateway Tower, 1 Macquarie Place, Sydney, NSW 2000, Australia.

Individual Account Opening Document Requirements

In accordance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act) and other relevant anti- money laundering and counter-terrorism financing laws (and related regulations) in Australia, we are required to verify your identity prior to opening a trading account.

We are required to collect the following;

1. Photo ID

The following are acceptable forms of photo identification:

- A valid Passport
- A valid Government ID, such as a Driver's License or State ID
- A valid National Identity Card

Photo ID must show full name and date of birth.

2. Proof of Residence

The following are acceptable forms of proof of residence:

- A Utility Bill (phone, gas, electricity)
- Bank or Credit Card Statement
- Government-Issued Tax Document
- Birth Certificate
- Certificate of Citizenship

All proof of residences must be current and not outdated by more than 180 days, show the full document, clearly display the name and address (no PO Boxes), and show a provision of services such as money owed or paid.

We must be able to understand the language shown on your Photo ID and Proof of Residence. We may require documents provided in languages other than English to be accompanied by an English translation.

Corporate Account Opening Document Requirements

In accordance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act) and other relevant anti- money laundering and counter-terrorism financing laws (and related regulations) in Australia, we are required to verify information about your company prior to opening a corporate trading account for you.

To ensure that your account is approved please submit the following:

Legal Person documentation:

1. Certificate of Incorporation/Registration;
2. Articles of Association/Memorandum;
3. Certificate of Directors and all Shareholders/Members/Partners including the percentage of their ownership;
4. A proof of company's registered address not older than 90 days / as well as principal place of business (Utility bill);
5. A resolution of the board of directors of the legal person for the opening of the account and granting authority to those who will operate it; and
6. Legal Entity Identifier number.

Officers and persons with significant control:

Documents and data for the verification of the identity of:

1. The persons that are authorised by the legal person to operate the account
2. Registered shareholders/beneficial owner/s of the legal person
3. Directors -
 - A valid photo ID (National ID or Passport)
 - A proof of residence (Utility Bill, Bank Statement), not older than 180 days

In the case of a legal person whose direct/immediate and principal shareholder is another legal person, registered in the Republic or abroad, the Company verifies the ownership structure and the identity of the natural persons who are the beneficial owners and/or control the other legal person.

Funds Withdrawal Procedures

The AML policy and procedure at Agility Markets detailed below is structured around strict guidelines to make sure that funds are securely sent back to their originating source and beneficiary.

- Agility Markets customers must complete a withdrawal request containing their correct account information.
- All withdrawal requests are submitted to Agility Markets accounts department for processing. Our Accounts department confirms the account balance, verifies that there are no holds or withdrawal restrictions on the account, and then approves the withdrawal request, pending compliance approval.
- Agility Markets Accounts department reviews all withdrawal requests, verifying the original funds are withdrawn via the same method of deposit and to the account holder on file. Our accounts department examines the withdrawal request against the customer's deposit history to make sure there is no suspicious activity, and verifies the bank account on file.
- Withdrawal requests approved are processed by the accounts department and the funds are released to the client.
- In the event that a withdrawal is flagged for suspicious activity, the withdrawal is placed on hold, pending further investigation by Agility Markets Management staff.
- Agility Markets Management will work with our Compliance department to see if further action needed and if any relevant regulatory bodies need to be contacted.

AML Policy Details

The AML policy and procedure at Agility Markets detailed below is structured around strict guidelines to make sure that funds are securely sent back to their originating source and beneficiary.

- Agility Markets monitors funding from various bank accounts outside of the account holder's home country.
- Agility Markets performs its actions on the basis of the anti-money laundering framework.
- Agility Markets neither accepts cash deposits nor disburses cash under any circumstances.
- Agility Markets does not accept third-party deposits of any kind.
- Agility Markets matches each deposit to the account name on file for that customer.
- Agility Markets reserves the right to refuse processing a transaction at any stage where it believes the transaction to be connected in any way to money laundering or criminal activity. In accordance with international law, Agility Markets is not obligated to inform the client if suspicious activity is reported to any corresponding regulatory or legal bodies.

Enhanced KYC Checks for selected Higher Country Risk Rating

Agility Markets can conduct enhanced checks and further verifications on the client's identity and submitted documents.

Account Opening Procedures

Prior to opening an account, Agility Markets shall document the identity, nature of business, income, source of assets if applicable, and investment objectives of each prospective customer.

Sanctioned Countries

While we welcome clients from all over the globe, governmental restrictions along with our company policies prohibit Agility Markets from opening accounts originated from the following restricted and/or sanctioned countries:

Abkhazia (the Republic of Abkhazia)

Afghanistan

American Samoa

Angola

Bolivia

Cambodia

Central African Republic
Chatham Island (New Zealand)
Congo
Congo - Brazzaville
Congo - Kinshasa
Cook Islands
Cuba
Cuba (Guantanamo Bay)
Diego Garcia
Gambia
Guam
Guinea
Guinea-Bissau
Iran (Islamic Republic of)
Iraq
Israel
Korea (Democratic Peoples Republic of)
Kyrgyzstan
Lebanon
Libya
Mali
Martinique
Mayotte
Myanmar
New Caledonia
New Zealand
Niue
Northern Mariana Islands
Puerto Rico
Reunion
Saint Barthélemy
Saint Martin
Saint Pierre and Miquelon
Senegal
Sierra Leone
Somalia
South Sudan
Sudan
Syrian Arab Republic
Tanzania
Tokelau
U.S. Virgin Islands
United States of America
Wake Island
Wallis and Futuna
Yemen
Zanzibar
Zimbabwe